

#9/RW  
04-2402

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APR 09 2002 Applicant(s):

Donoho et al.

Application No.:

09/775,181

Filed:

02/01/01

Group Art Unit: 1646

Title: Novel Human Membrane Proteins and  
Polynucleotides Encoding the Same

Examiner: R. Li

Attorney Docket No.: LEX-0129-USA

**RECEIVED**

APR 15 2002

Commissioner for Patents  
Washington, D.C. 20231

TECH CENTER 1600/2900

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

This Supplemental Information Disclosure Statement is submitted:

- under 37 CFR 1.97(b), or
  - (Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- under 37 CFR 1.97(c) together with either a:
  - Certification under 37 CFR 1.97(e), or
  - a \$180.00 fee under 37 CFR 1.17(p), or
    - (After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- under 37 CFR 1.97(d) together with a:
  - Certification under 37 CFR 1.97(e), and
  - a petition under 37 CFR 1.97(d)(2)(ii), and
  - a \$130.00 petition fee set forth in 37 CFR 1.17(i)(1).
    - (Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

X Applicant(s) submit herewith Form PTO 1449-Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

Applicants submit that the above references taken alone or in combination neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

It is requested that the information disclosed herein be made of record in this application.

The Commissioner is hereby authorized to charge any fees associated with this paper communication or credit any overpayment to Deposit Account No. 50-0892.

Respectfully submitted,

April 3, 2002  
\_\_\_\_\_  
Date

*Lance K. Ishimoto by letter* *Peter G. Siefers*  
*Reg No 90,162*  
Lance K. Ishimoto Reg. No. 41,866

LEXICON GENETICS INCORPORATED  
(281) 863-3333



24231

PATENT TRADEMARK OFFICE

RECEIVED

APR 15 2002

1646  
JFPlease type a plus sign (+) inside this box → 

PTO/SB/21 (08-00)

TECH CENTER 1600/2900

Approval for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

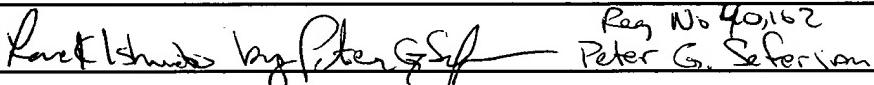
 <p><b>TRANSMITTAL FORM</b></p> <p>(to be used for all correspondence after initial filing)</p>		Application Number	09/775,181
		Filing Date	02/01/01
		First Named Inventor	Donoho
		Group Art Unit	1646
		Examiner Name	R. Li
Total Number of Pages in This Submission	6	Attorney Docket Number	LEX-0129-USA

**ENCLOSURES (check all that apply)**

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers <i>(for an Application)</i>	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i>
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) <i>(please identify below):</i>
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	- Return Postcard
<input checked="" type="checkbox"/> Supplemental Information Disclosure Statement	<input type="checkbox"/> Request for Refund	- Supplemental PTO1449 and copies of 3 references cited
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	<input type="checkbox"/> Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

24231

PATENT TRADEMARK OFFICE

<b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>	
Firm or Individual name	Lance K. Ishimoto, Reg. No. 41,866 Lexicon Genetics Incorporated
Signature	 Reg. No. 40,162 Peter G. Seferian
Date	April 3, 2002

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Box DD, P.O. Box 2327, Arlington, VA 22202 on this date:

April 3, 2002

Typed or printed name	Nancy Stacey		
Signature		Date	April 3, 2002

**FREE TRANSMITTAL**  
**for FY 2002**

APR 09 2002

Statement fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT**

(\$ 180.00)

**Complete If Known**

Application Number	09/775,181	RECEIVED
Filing Date	02/01/01	
First Named Inventor	Donoho	APR 15 2002
Examiner Name	R. Li	
Group Art Unit	1646	TECH CENTER 1600/2900

Please type a plus sign (+) inside this box →

**Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.**

Substitute for form 1449A/PTO		<i>Complete if Known</i>	
<b>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		Application Number	09/775,181
		Filing Date	02/01/01
		First Named Inventor	Donoho
		Group Art Unit	1646
		Examiner Name	R. Li
Sheet	1	of	1
Use as many sheets as necessary.			
Attorney Docket Number			
LEX-0129-USA			

## U.S. PATENT DOCUMENTS

**RECEIVED**

~~APR 15 2002~~

**TECH CENTER 1600/2900**

## FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
							Figures Appear	

Examiner Signature		Date Considered
-----------------------	--	--------------------

\*EXAMINER: Initial reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

possible. \* Applicant is to place a check mark here if English language translation is attached.  
Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.